

Arkansas	Colorado	Kansas	Missouri	New Mexico	Oklahoma	Texas
<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>	<b>Coordination Registration Fees</b>
Coordination: 1/10 of 1% of the maximum aggregate offering price, minimum fee \$150, maximum fee \$2,000, expiring one year from date of issue; renewal is same fee as initial.	Coordination: 0.05% (1/20th of 1%) of the maximum aggregate offering price at which the securities are offered in Kansas, with a minimum fee of \$100 and a maximum fee of \$1,500.	Coordination: 0.05% (1/20th of 1%) of the maximum aggregate offering price at which the securities are offered in Kansas, with a minimum fee of \$100 and a maximum fee of \$1,500.	Coordination-- Initial fee: The minimum filing fee is \$100, permitting registration of up to \$100,000 of securities in Missouri. To register more than \$100,000, the registration fee is 1/20 of 1% of the maximum aggregate offering amount that exceeds \$100,000. The maximum total fee (filing plus registration) is \$1,000.	Coordination: 1/10 of 1% of the maximum aggregate price at which the registered securities will be offered in New Mexico, with a minimum fee of \$250, or \$500 if the person is an issuer or a person acting on behalf of an issuer who is claiming an exemption from federal registration for small company offerings under Regulation D Rule 504.	Coordination: \$200 (Examination Fee) + 1/10 of 1% of the aggregate offering price, with a minimum fee of \$200 and a maximum fee of \$2,500.	Coordination: Examination fee of 1/10 of 1% of the offering price of securities sold in Texas + a \$100 filing fee for an original, amended or renewal application to sell or dispose of the securities.
<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>	<b>Qualification Registration Fees</b>
Qualification: 1/10 of 1% of the maximum aggregate offering price, minimum fee \$150, maximum fee \$2,000, expiring one year from date of issue; renewal is same fee as initial.	Qualification: 0.05% (1/20th of 1%) of the maximum aggregate offering price at which the securities are offered in Kansas, with a minimum fee of \$100 and a maximum fee of \$1,500.	Qualification: 0.05% (1/20th of 1%) of the maximum aggregate offering price at which the securities are offered in Kansas, with a minimum fee of \$100 and a maximum fee of \$1,500.	Qualification-- Initial fee: The minimum filing fee is \$100, permitting registration of up to \$100,000 of securities in Missouri. To register more than \$100,000, the registration fee is 1/20 of 1% of the maximum aggregate offering amount that exceeds \$100,000. The maximum total fee (filing plus registration) is \$1,000.	Qualification: 1/10 of 1% of the maximum aggregate price at which the registered securities will be offered in New Mexico, with a minimum fee of \$250 and a maximum fee of \$500 if the person is an issuer or a person acting on behalf of an issuer who is claiming an exemption from federal registration for small company offerings under Regulation D Rule 504.	Qualification: \$200 (Examination Fee) + 1/10 of 1% of the aggregate offering price, with a minimum fee of \$200 and a maximum fee of \$2,500.	Qualification: Examination fee of 1/10 of 1% of the offering price of securities sold in Texas + a \$100 filing fee for an original, amended or renewal application to sell or dispose of the securities.
<b>Exemption Fees</b>	<b>Exemption Fees</b>	<b>Exemption Fees</b>	<b>Exemption Fees</b>	<b>Exemption Fees</b>	<b>Exemption Fees</b>	<b>Exemption Fees</b>
Exemptions--Proof exemption: \$100; however, the proof exemption filing fee for securities issued by nonprofit religious and charitable organizations is 1/10 of 1% of the maximum aggregate offering price at which the securities are offered in Arkansas, with a minimum of \$100 and a maximum of \$500. Proof exemption for limited offerings: 1/10 of 1% of the maximum aggregate offering price at which the securities are sold in Arkansas, with a minimum of \$25 and a maximum of \$500.	Exemptions--Regulation A exemptions: \$50; Regulation D exemptions (504, 505): \$50; Mutual funds: Initial \$125; Renewal \$125; Unit investment trusts: Initial \$125; Renewal \$125; Crowdfunding exemption--(1) issuer notice filing fee: \$50; (2) intermediary fee: \$60.	Exemptions: (a) uniform limited offering exemption [Rule 505 offerings]; (b) accredited investor exemption; (c) agricultural cooperative exemption; and (d) request for a no-action letter or interpretive opinion letter from the commissioner or staff: \$250.	Exemption notice (including Rule 505 of federal Regulation D): \$100; Model accredited investor exemption--filing Fee: \$100; Not-for-profit exemption: \$100; New generation processing entity exemption \$100; Securities in agricultural cooperative: \$100.	Accredited investor exemption: \$350 Rule 505 offerings: \$350.	Exemptions--Notice of exemption filing or request for order of limited offering exemption under Section 4(6) of the Securities Act of 1933: \$250; Nonprofit debt securities: \$250; Offerings under Rule 504 or 505 of federal Regulation D: \$250; Accredited investor exemption: \$250.	Exemptions--Limited offering exemption and Rule 505 offerings under federal Regulation D: 1/10 of 1% of the aggregate offering price, with a maximum fee of \$500.

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Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies	Section 18(b)(2)–Investment Companies
Section 18(b)(2) of the Securities Act of 1933 (Investment Companies); Federally registered investment companies; 1/10 of 1% of the maximum aggregate offering price, with minimum and maximum fees of \$150 and \$2,000; renewal fee is the same as initial.	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies); Each portfolio or series of an investment company (initial and renewal); investment trust (initial and renewal); Mutual funds: \$125; Unit investment trusts: \$125	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies); Each portfolio or series of an investment company (initial and renewal); investment trust (initial and renewal); \$500; Name change of the issuer, fund, portfolio or series: \$100.	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies) and face amount certificate companies; The initial fee to be paid before the securities are initially offered in Missouri is \$100. (A separate initial fee must be paid for each portfolio). A fee of 1/20 of 1% of the amount of securities sold in Missouri during the issuer's previous fiscal year must then be paid within 60 days of the issuer's fiscal year-end. The maximum fee for securities sold in Missouri during a fiscal year is \$3,000 and includes the initial filing fee of \$100 for the first fiscal year. The annual renewal fee is \$100 and must be paid on or within 30 days before the anniversary of the effective date or at the time of the fiscal year-end, but can be applied as the filing fee for the first \$200,000 of securities sold in Missouri during a fiscal year.	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies)— Notice filing under Section 18(b)(2); investment company initial and renewal notice filing: \$525; Unit investment trust initial and renewal notice filing: \$200.	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies); Initial and renewal separate notice filings for each class of an issuer's securities offered in Oklahoma under Section 18(b)(2) of the Securities Act of 1933: \$500.	Section 18(b)(2) of the Securities Act of 1933 (Investment Companies); Fee for federal covered securities for investment companies/unit investment trusts (that have the conditional exemption); (a) Filing Fee \$100 + (b) examination fee of 1/10 of 1% of the aggregate amount of federal covered securities proposed to be sold to persons in Texas based on the price at which the securities are offered to the public.
Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers	Section 18(b)(3)–Qualified Purchasers
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Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings	Section 18(b)(4)(D)–Rule 506 Offerings
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Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); 1/10 of 1% of the offering price, with a minimum fee of \$100, and a maximum fee of \$500.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); The fee amount should be \$250 if timely filed; If filed late, the fee should be the greater of either \$500 or 1/10 of 1% of the dollar value of the securities sold to Kansas residents before the date on which Form D is filed, not to exceed \$5,000.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); The fee amount should be \$250 if timely filed; If filed late, the fee should be the greater of either \$500 or 1/10 of 1% of the dollar value of the securities sold to Kansas residents before the date on which Form D is filed, not to exceed \$5,000.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); Filing of notice: \$100; Late filing of notice: \$50.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); Filing more than 10 days after the due date—\$1,050.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); \$250.	Section 18(b)(4)(D) of the Securities Act of 1933 (Rule 506 offerings); 1/10 of 1% of the aggregate amount of securities described as being offered for sale, but in no event will the fee be more than \$500.

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<p><b>Agent/Salesperson Fees</b> Agents: Initial \$75; Renewal \$75. Transfer: \$75. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Sales Representatives: Initial \$12; Renewal \$12. Transfer: \$12; Mortgage sales representative: Initial \$12; Renewal \$12. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Agents: Initial \$60; Renewal \$60. Transfer: \$50. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Agents: Initial \$50; Renewal \$50. Transfer: \$50. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Agent: Initial \$50; Renewal \$50; \$50 Change of registration. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Broker-Dealer Agents: Initial \$50; Renewal \$50; Inactive basis renewal fee: \$10. Issuer Agents: Initial \$50; Renewal \$50. Dealer/Broker-Dealer Fees</p>	<p><b>Agent/Salesperson Fees</b> Agent (or officer): Initial \$35; Renewal \$20. Amendment: \$25. Dealers (and applicants initially registering and renewing as a Texas crowdfunding portal for an issuer or small business development entity): Initial \$75; Renewal \$40. Federal Covered Investment Adviser Fees Federal Covered Advisers: Initial \$75; Renewal \$40. Federal covered investment advisers amending Form ADV, Part 1B to switch their advisory status from federal to state following Dodd-Frank Act effective 7-21-2011: \$25 Investment Adviser Fees</p>
<p>Broker-Dealers: Initial \$300; Renewal \$300. Federal Covered Investment Adviser Fees Federal Covered Advisers: Initial \$300; Renewal \$300.</p>	<p>Broker-Dealers (FINRA or non-FINRA): Initial \$60; Renewal \$60. Federal Covered Investment Adviser Fees SEC Investment Advisers: Initial \$60; Renewal \$60.</p>	<p>Broker-Dealers: Initial \$200; Renewal \$200. Federal Covered Investment Adviser Fees Federal Covered Advisers: Initial \$100; Renewal \$100.</p>	<p>Broker-Dealers: Initial \$200; Renewal \$100. Federal Covered Investment Adviser Fees Federal Covered Advisers: \$200; Renewal \$100.</p>	<p>Broker-Dealers: Initial \$300; Renewal \$300. Federal Covered Investment Adviser Fees Federal Covered Investment Advisers: \$300 initial; \$300 renewal.</p>	<p>Broker-Dealers: Initial \$300; Renewal \$300. Federal Covered Investment Adviser Fees Federal Covered Advisers: Initial \$300; Renewal \$300.</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$300; Renewal \$300. Investment Adviser Representative Fees</p>
<p>Investment Adviser Fees Investment Advisers: Initial \$300; Renewal \$300. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees State Registered Investment Adviser: Initial \$60; Renewal \$60. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$100; Renewal \$100. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$200; Renewal \$100. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$300; Renewal \$300. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$50; Renewal \$50. Investment Adviser Representative Fees</p>	<p>Investment Adviser Fees Investment Advisers: Initial \$75; Renewal \$75. Investment Adviser Representative Fees</p>