

Department of Securities  
 Business Unit - 63000 - Detail Account  
 FY-2014 Operating Budget Comparison Summary by Division/Department  
 as of June 30, 2014

OCPGL341  
 12-MAY-2015  
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63000 - Department of Securities  
 Division - 10 - General Operations  
 Department: 1001000 - 1002500

Department Description	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
1001000 Administration General	347,506	347,506	213,173.49	0.00	0.00	213,173.49	134,332.51	134,332.51	61.34	61.34
1002000 Regulation General	646,650	646,650	471,933.91	25,602.84	0.00	497,536.75	149,113.25	149,113.25	76.94	76.94
1002200 Registration Of Securities	406,483	406,483	393,544.21	0.00	0.00	393,544.21	12,938.79	12,938.79	96.82	96.82
1002310 Regis of Firms/Agents Licensng	0	0	620.00	375.00	0.00	995.00	-995.00	-995.00	~	~
1002320 Regis of Firms/Agents Exam	736,377	736,377	640,418.60	0.00	0.00	640,418.60	95,958.40	95,958.40	86.97	86.97
1002400 Investigation and Enforcement	2,374,783	2,374,783	2,082,510.60	23,108.48	0.00	2,105,619.08	269,163.92	269,163.92	88.67	88.67
1002500 Investor Education	188,404	188,404	182,970.81	0.00	0.00	182,970.81	5,433.19	5,433.19	97.12	97.12
Totals	4,700,203	4,700,203	3,985,171.62	49,086.32	0.00	4,034,257.94	665,945.06	665,945.06	85.83	85.83
Class Funding	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
20000 Okla Securities Dept Rev Fund	4,700,203	4,700,203	3,985,171.62	49,086.32	0.00	4,034,257.94	665,945.06	665,945.06	85.83	85.83
Totals	4,700,203	4,700,203	3,985,171.62	49,086.32	0.00	4,034,257.94	665,945.06	665,945.06	85.83	85.83

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63000 - Department of Securities  
 Division - 30 - Investor Education  
 Department: Investor Education Univ of OK

Department Description	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
3000002 Investor Education Univ of OK	1,071,879	1,071,879	437,187.53	559,691.47	0.00	996,879.00	75,000.00	75,000.00	93.00	93.00
Totals	1,071,879	1,071,879	437,187.53	559,691.47	0.00	996,879.00	75,000.00	75,000.00	93.00	93.00
Class Funding	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
20500 Investor Education Revolving	1,071,879	1,071,879	437,187.53	559,691.47	0.00	996,879.00	75,000.00	75,000.00	93.00	93.00
Totals	1,071,879	1,071,879	437,187.53	559,691.47	0.00	996,879.00	75,000.00	75,000.00	93.00	93.00

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63000 - Department of Securities  
 Division - 88 - ISD Data Processing  
 Department: ISD DP Regulation

Department Description	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
8820010 ISD DP Regulation	654,925	654,925	118,478.13	23,514.21	59,518.29	201,510.63	453,414.37	453,414.37	30.77	30.77
Totals	654,925	654,925	118,478.13	23,514.21	59,518.29	201,510.63	453,414.37	453,414.37	30.77	30.77
Class Funding	Annual Budget	YTD Budget	Expenses	Encumbrance	Pre-Encumbrance	Total Exp, Enc, Pre-Enc	Annual Variance	YTD Variance	Annual %	YTD %
20000 Okla Securities Dept Rev Fund	654,925	654,925	118,478.13	23,514.21	59,518.29	201,510.63	453,414.37	453,414.37	30.77	30.77
Totals	654,925	654,925	118,478.13	23,514.21	59,518.29	201,510.63	453,414.37	453,414.37	30.77	30.77
Totals for Bus Unit 63000	6,427,007	6,427,007	4,540,837.28	632,292.00	59,518.29	5,232,647.57	1,194,359.43	1,194,359.43	81.42	81.42