

Ethics Commission

Lead Administrator: Lee Slater

Lead Financial Officer: Suzi Bryan

Mission Statement: government by:	The Ethics Commission is a constitutional state agency which promotes Oklahoma citizens' confidence in state government; Promulgating rules of ethical conduct for state officers and employees; Promulgating rules of ethical conduct for state candidate and issue campaigns; Providing assistance in and monitoring the disclosure of campaign financing for state and local candidates and committees, personal financial disclosure for state and county officers/employees, and registration and reporting by lobbyists; Providing assistance in monitoring the political activity and official conduct of state officers/employees in order to prevent conflicts of interest; Serving as the repository and making available for public inspection and copying all required disclosure documents; and Issuing opinions on and investigating and/or prosecuting alleged violations of its rules.
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FY'13 Budgeted FTE						
	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$
General Operations	1		1			1
Total	1		1			1

FTE History					
	2013 (Est)	2012	2009	2008	2003
General Operations	6	5	7	7	7
Total	6	5	7	7	7

FY'13 Projected Division/Program Funding By Source						
	Appropriations	Federal	Revolving	Local	Other*	Total
General Operations	\$588,129	\$0	\$125,000	\$0	\$0	\$713,129
Total	\$588,129	\$0	\$125,000	\$0	\$0	\$713,129

*Source of "Other" and % of "Other" total for each.

FY'13 Carryover by Funding Source						
	Appropriations	Federal	Revolving	Local	Other*	Total
FY'13 Carryover	\$0	\$0	\$0	\$0	\$0	\$0

*Source of "Other" and % of "Other" total for each.

What Changes did the Agency Make between FY'12 and FY'13

1.) Are there any services no longer provided because of budget cuts?

No

2.) What services are provided at a higher cost to the user?

None

3.) What services are still provided but with a slower response rate?

Response time regarding verification of filings of Statements of Financial Interest have slowed somewhat due to the volume of paperwork and the time it takes to file 6,000+ forms. Staff sometimes works OT in order to keep up with our agency responsibilities.

FY'14 Requested Division/Program Funding By Source						
	Appropriations	Federal	Revolving	Other	Total	% Change
General Operations	\$893,000	\$0	\$125,000	\$0	\$1,018,000	42.75%
Total	\$893,000	\$0	\$125,000	\$0	\$1,018,000	

*Source of "Other" and % of "Other" total for each.

FY'14 Top Five Appropriation Funding Requests

	\$ Amount
Request 1 Increase general revenue fund for operating expenses - to offset revolving fund deficit	\$65,000
Request 2 Increase general revenue funding to pay employee annual leave upon retirement	\$13,000
Request 3 Hire Legal Secretary II	\$59,000
Request 4 Hire Two Paralegals	\$118,000
Request 5 Increase office space by 100%	\$40,000

How would the agency handle a 3% appropriation reduction in FY'14?

Staff would continue to work OT to accommodate the public and the State of Oklahoma in performing its duties.

How would the agency handle a 5% appropriation reduction in FY'14?

Staff would continue to work OT to accommodate the public and the State of Oklahoma in performing its duties.

Is the agency seeking any fee increases for FY'14?

None	\$ Amount
	\$0

Federal Government Impact

1.) How much federal money received by the agency is tied to a mandate by the Federal Government?

N/A

2.) Are any of those funds inadequate to pay for the federal mandate?

N/A

3.) What would the consequences be of ending all of the federal funded programs for your agency?

N/A

4.) How will your agency be affected by federal budget cuts in the coming fiscal year?

N/A

5.) Has the agency requested any additional federal earmarks or increases?

N/A

Division and Program Descriptions

Administration/Investigations

The purposes of these programs are to: write, interpret and enforce Ethics Rules; to investigate violations of the Political Subdivisions Ethics Act (PSEA); and the prosecution of complaints seeking civil penalties in District Court for the violations of Rules.

Registration Services

This program includes: the processing and filing of reports with the Commission by those who are governed by the Rules and the PSEA. It also covers assessment of late fees, and APA mandated hearings and appeals on same.

Performance Measure Review

	FY12	FY'11	FY'10	FY'09	FY'08
Administration/Investigations					
Audits and Investigations		525	509	514	518
Increase Late Fee Collection	\$9,228	\$48,485			
Registration Services					
Timely Mailings of Report Notices	10,000	10,000	9,088	12,973	10,474
Increase Electronic Filers	984	1,000	649	546	472