

## Oklahoma Department of Corrections (131)

Ashlee Clemmons

FY'19 Projected Division/Program Funding By Source						
Activity	Appropriations	Federal	Revolving	Local	Other*	Total
Institutions	01-19	\$174,373,569		\$3,957,363		\$178,330,932
Probation and Parole	21	\$26,064,458		\$31,184		\$26,095,642
Community Corrections	31	\$18,232,276		\$479,896		\$18,712,172
Prisons Industries	42			\$37,501,849		\$37,501,849
Programs	51	\$16,940,680	\$4,018,130	\$5,759,702		\$26,718,512
Community Sentencing	52	\$828,391		\$4,355,345		\$5,183,736
Contracts	56	\$120,627,087		\$2,600,000		\$123,227,087
Executive Operations	60-61	\$47,627,945		\$2,083,317		\$49,711,262
Divisional Operations	62	\$28,585,411		\$4,125,376		\$32,710,787
Health Services	63	\$71,214,933		\$4,052,914		\$75,267,847
Information Technology	88	\$12,760,753	\$344,000	\$1,304,159		\$14,408,912
<b>Total</b>		<b>\$517,255,503</b>	<b>\$4,362,130</b>	<b>\$66,251,105</b>	<b>\$0</b>	<b>\$587,868,738</b>

\*Source of "Other" and % of "Other" total for each.

FY'18 Carryover and Refund by Funding Source						
	Appropriations	Federal	Revolving	Local	Other*	Total
FY'18 Carryover	\$294,870					\$294,870

\*Source of "Other" and % of "Other" total for each.

What Changes did the Agency Make between FY'18 and FY'19?
<p><b>1.) Are there any services no longer provided because of budget cuts?</b> Currently, all services are provided that occurred in the previous fiscal year.</p> <p><b>2.) What services are provided at a higher cost to the user?</b> HB3706 approved a per diem increase for contracted medium security beds - recurring annual cost increase \$2,800,000 GEO is the only contracted vendor that received a per diem increase.</p> <p><b>3.) What services are still provided but with a slower response rate?</b> Response times in general have not changed.</p> <p><b>4.) Did the agency provide any pay raises that were not legislatively/statutorily required?</b> No</p>

FY'20 Requested Division/Program Funding By Source							
Activity	Appropriations	Federal	Revolving	Other	Total	%	Change
Institutions	01-19	\$209,240,343		\$3,957,363	\$884,000,000	\$1,097,197,706	515.26%
Probation and Parole	21	\$26,917,728		\$31,184		\$26,948,912	3.27%
Community Corrections	31	\$19,563,927		\$479,896		\$20,043,823	7.12%
Prisons Industries	42			\$37,501,849		\$37,501,849	0.00%
Programs	51	\$20,478,369	\$4,018,130	\$5,759,702		\$30,256,201	13.24%
Community Sentencing	52	\$855,780		\$4,355,345		\$5,211,125	0.53%
Contracts	56	\$120,650,661		\$2,600,000		\$123,250,661	0.02%
Executive Operations	60-61	\$55,503,717		\$2,083,317		\$57,587,034	15.84%
Divisional Operations	62	\$36,053,431		\$4,125,376		\$40,178,807	22.83%
Health Services	63	\$177,204,698		\$4,052,914		\$181,257,612	140.82%
Information Technology	88	\$21,625,964	\$344,000	\$1,304,159		\$23,274,123	61.53%
<b>Total</b>		<b>\$688,094,618</b>	<b>\$4,362,130</b>	<b>\$66,251,105</b>	<b>\$884,000,000</b>	<b>\$1,642,707,853</b>	<b>780%</b>

\*Source of "Other" and % of "Other" total for each.

FY'20 Top Five Appropriation Funding Requests	
	\$ Amount
Salary Adjustments	\$18,489,805
Debt Service	\$7,187,361
Facility Maintenance/Repair/Critical Needs	\$31,913,879
Programs	\$3,336,422
Information Technology and Physical Security	\$8,865,211
<b>Total Increase above FY-19</b>	<b>\$ 69,792,678</b>

Does the agency have any costs associated with the Pathfinder retirement system and federal employees?
<p>(If so, please describe the costs and provide an estimate for FY '20, FY '21, and FY '22.) We do not have any federal funds that are associated with the Pathfinder retirement system.</p>

**How would the agency be affected by receiving the same appropriation for FY '20 as was received in FY '19? (Flat/ 0% change)**

Currently the state prison system exceeds capacity. A reduction in appropriations will place additional risks to staff and inmates. SB1590 authorized OCIA to issue a bond for \$116,500,000 for the financing of maintenance, repairs, equipment and improvements of existing correctional facilities for the Oklahoma Department of Corrections. Debt service for FY 2020 is an additional obligation of \$7,187,361.

**How would the agency handle a 2% appropriation reduction in FY '20?**

Due to the already overcrowded state of our prison system, the agency is unable to handle an appropriation decrease without a corresponding decrease in the number of inmates/offenders. Any decrease in appropriations will place the security of staff and inmates at risk.

**Is the agency seeking any fee increases for FY '20?**

		\$ Amount
Increase 1	N/A	\$0
Increase 2	N/A	\$0
Increase 3	N/A	\$0

**What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?**

Capital - Construction of an additional 5,200 beds  
 Technology - Continue implementation of a new Offender Management System  
 Capital - Debt Service for the Construction and Maintenance Bond

**Federal Funds**

	FY 19 projected	FY 18	FY 17	FY 16	FY 15
<p><b>Federal Funding I</b>                      Swift, Certain, and Fair Sanctions Program (SCF15): Replicating the Concepts Behind Project Hope - Grant # 2015-HO-BX-K004 - CFDA 16.828 - This project has a goal of serving 192 participants over the life of the grant. The target population consists of moderate to high risk individuals sentenced to community supervision. The program develops and enhances SCF initiatives and implements a SCF model in Lincoln and Pottawatomie counties. Sanctions are based on a progressive matrix. Additionally, services are provided to target underlying issues than can increase the possibility of recidivating such as substance abuse and mental illness.</p> <p>Award date: 10/01/15 Award End: 09/30/2018 Extended Until: 09/30/2019</p>	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	Awarded but not started	N/A
<p><b>Federal Funding II</b>                      Swift, Certain, and Fair Sanctions Program (SCF16): Replicating the Concepts Behind Project Hope - Grant # 2016-HO-BX-K003 - CFDA 16.828 - This project has a goal of serving 240 participants over the life of the grant. The target population consists of moderate to high risk individuals sentenced to community supervision. The program develops and enhances SCF initiatives and implements a SCF model in Delaware and Sequoyah Counties. Sanctions are based on a progressive matrix. Additionally, services are provided to target underlying issues than can increase the possibility of recidivating such as substance abuse and mental illness.</p> <p>Award date: 10/01/16 Award End: 09/30/2019 Extended Until:</p>	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	Awarded - \$200,000 Match - \$67,437 Total - \$267,437	N/A	N/A
<p><b>Federal Funding III</b>                      Swift, Certain, and Fair Sanctions Program (SCF17)/ Replicating the Concepts Behind Project Hope - Grant # 2017-HO-BX-K001 - CFDA 16.828 - This project has a goal of serving 225 participants over the life of the grant. The ODOC will adopt the SCF model into the framework of the Tulsa County Female Diversion Program by employing swift, certain, and fair sanctions and supervision techniques.</p> <p>Award date: 10/01/17 Award End: 09/30/2020 Extended Until: THIS GRANT IS NOT ACTIVE YET</p>	Grant Not Active  Awarded - \$600,000 Match - \$0 Total - \$600,000	Awarded but not started	N/A	N/A	N/A
<p><b>Federal Funding IV</b>                      Sex Offender Registration &amp; Notification Act (SORNA-16) - Grant # SOR 16-001 - CFDA 16.751 - Funds will be used to allow for radius searches on the National Sex Offender Public Website, further other technological enhancements and allow for updates, and to continue the digitization of files.</p> <p>Award date: 08/01/2016 Award End: 07/31/2018 Extended Until: 04/31/2019</p>	Awarded - \$45,840 Match - \$0 Total - \$45,840	Awarded - \$45,840 Match - \$0 Total - \$45,840	Awarded - \$45,840 Match - \$0 Total - \$45,840	N/A	N/A

**Federal Funding V**

Sex Offender Registration & Notification Act (SORNA-17) - Grant # SOR 17-001 - CFDA 16.751 - Funds will be used to enhance SORNA compliance further other technological enhancements and allow for updates, and to continue the digitization of files.

Award date: 08/01/2017 Award End: 07/31/2019 Extended Until:

Awarded - \$137,522	Awarded but not started	N/A	N/A	N/A
Match - \$0				
Total - \$137,522				

**Federal Funding VI**

Justice Assistance Grant - Grant # 2017/18-JAG-DOC-003 - CFDA 16.738 - Funding from this project provides substance abuse treatment programming at the Oklahoma State Reformatory to address the substance abuse treatment needs of inmates prior to 7 7o.7(201)3(8-R)3

Awarded - \$116,952	Awarded - \$85,994	N/A	N/A	N/A
Match - \$0	Match - \$21,498			
Total - \$116,952	Total - \$107,492			

**Federal Funding VII**

Justice Reinvestment Initiative (JRI/IRF) - Grant # 2015-ZB-BX-0001 - CFDA 16.827 - This project enhances two justice diversion programs. DMHSAS has expanded Offender Screening to other counties, and ODOC will provide services for individuals sanctioned to Intermediate Revocation Facilities (IRFs). Services are provided for a maximum of \$40 per day for up to six months for 121 participants over the course of the grant. Services include Cognitive Behavioral Intervention-Substance Abuse, Thinking for a Chance, and other interventions as needed.

Award date: 10/01/15 Award End: 09/30/2018 Extended Until: 09/30/2019

Awarded - \$290,400	Awarded - \$290,400	Awarded - \$290,400	N/A	N/A
Match - \$290,400	Match - \$290,400	Match - \$290,400		
Total - \$580,800	Total - \$580,800	Total - \$580,800		

**Federal Funding VIII**

Residential Substance Abuse Treatment (RSAT) - Grant # 2018-RSAT-DOC-002 - CFDA 16.593 - Funding assists five substance abuse treatment programs in institutions of varying security levels around the state so that the substance abuse needs of inmates may be addressed prior to discharge and release into the community.

Award date: 07/01/18 Award End: 06/30/2019 Extended Until:

Awarded - \$286,751	N/A	N/A	N/A	N/A
Match - \$95,583				
Total - \$382,334				

**Federal Funding IX**

Awarded - \$73,306	N/A	N/A	N/A	N/A
Match - \$73,306				
Total - \$146,612				

**Federal Funding X**

Estimated Award - \$500,000	Estimated Award - \$500,000	Awarded - \$819,902	Awarded - \$581,560	Awarded - \$548,902
Match - \$0	Match - \$0	Match - \$0	Match - \$0	Match - \$0
Total - \$	Total - \$	Total - \$819,902	Total - \$581,560	Total - \$548,902

**Federal Funding XI**

Awarded - \$443,916.67	Awarded - \$639,297	Awarded - \$477,411	Awarded - \$467,321	Awarded - \$457,541
Match - \$120,657	Match - \$118,408	Match - \$108,081	Match - \$106,486	Match - \$0
Total - \$564,573.67	Total - \$757,705	Total - \$585,492	Total - \$573,807	Total - \$457,541

**Federal Funding XII**

Awarded - \$0	Awarded - \$24,994	Awarded - \$14,105	Awarded - \$23,510	Awarded - \$20,911
Match - \$0	Match - \$0	Match - \$0	Match - \$0	Match - \$0
Total - \$0	Total - \$24,994	Total - \$14,105	Total - \$23,510	Total - \$20,911

<b>Federal Funding XIII</b> Title I for Neglected and Delinquent Children (Title I) - Grant # N/A - CFDA 84.013A - This program supports supplemental instruction in core subject areas, such as reading and mathematics, as well as tutoring, counseling, and transition services. Inmates are identified following a Test of Adult Basic Education (TABE). Students are enrolled if they are younger than 21 and do not possess either a high school diploma or a GED. Students with the greatest education deficits are given priority of services.  Award date: 07/01/2018 Award End: 06/30/2019 Extended Until:	Awarded - \$366,911.79 Match - \$0 Total - \$366,911.79	Awarded - \$349,413.19 Match - Total - \$349,413.19	Awarded - \$349,413.19 Match - Total - \$349,413.19	Awarded - \$308,632 Match - Total - \$308,632	Awarded - \$258,100 Match - Total - \$258,100
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Federal Government Impact
<p><b>1.) How much federal money received by the agency is tied to a mandate by the Federal Government?</b>  A total of \$275,043.96 for SORNA16 and SORNA 17 is tied to Federal mandate, 18 U.S.C. § 2250- Failure to register.</p> <p><b>2.) Are any of those funds inadequate to pay for the federal mandate?</b>  No, funding is sufficient.</p> <p><b>3.) What would the consequences be of ending all of the federal funded programs for your agency?</b>  Significant cuts to grant funds could potentially eliminate several effective programs.</p> <p><b>4.) How will your agency be affected by federal budget cuts in the coming fiscal year?</b>  Cuts to grant funds could potentially eliminate several effective programs.</p> <p><b>5.) Has the agency requested any additional federal earmarks or increases?</b>  No</p>

Division and Program Descriptions
Institutions - Activity 01-19 Provides secure public facilities to house inmates at minimum, medium and maximum security levels.
Probation and Parole - Activity 21 Provides supervision of offenders who are not incarcerated within the DOC facilities.
Community Corrections - Activity 31 Incarceration with a minimum level of security in a community setting.
Prisons Industries - Activity 42 Oklahoma Correctional Industries provides employment for inmates in a manufacturing and agricultural environment.
Programs - Activity 51 Provides inmates program opportunities in education, substance abuse treatment, treatment, criminogenic needs, career technology and reentry.
Community Sentencing - Activity 52 Community Sentencing serves as an alternative to incarceration for eligible offenders convicted of a felony.
Contracts - Activity 56 Private facilities such as halfway houses, contracted jail beds, jail backup and private prisons.
Executive Operations - Activity 60 & 61 Composed of General Operations and Central Office Operations to include the Director's Office, Communications, Legislative Liaison, General Counsel, Fugitive Apprehension and Investigations, Security Threats Intelligence, Audit and Compliance, Business Services, and Employee Rights and Relations. Serves as the central oversight and administrative support of
Divisional Operations - Activity 62 Manages centralized operations such as fleet, construction and maintenance, safety, security, utility oversight, and canine functions.
Health Services - Activity 63 Maintains physical and mental health of the inmate population.
Information Technology - Activity 88 Information Technology is responsible for all automated technology services. Shared services are provided by OMES.

FY'19 Budgeted FTE							
Activity	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$	
Institutions	01-19	401	2,283	44	1,616	701	10
Probation and Parole	21	61	366	16	120	262	-
Community Corrections	31	52	250	7	161	96	-
Prisons Industries	42	15	68	27	30	63	2
Programs	51	36	208	48	80	170	6
Community Sentencing	52	2	3	8	3	8	-
Contracts	56	0	-	8	-	8	-
Executive Operations	60-61	68	173	92	61	180	24
Divisional Operations	62	45	154	65	68	139	12
Health Services	63	49	268	118	166	139	81
Information Technology	88	0	-	-	-	-	-
<b>Total</b>		<b>729</b>	<b>3,773</b>	<b>432</b>	<b>2,304</b>	<b>1,765</b>	<b>135</b>

FTE History							
Activity		2019 Budgeted	2018	2017	2015	2010	
Institutions	01-19	2327	2307	2360	2112	2563	
Probation and Parole	21	382	388	401	391	411	
Community Corrections	31	257	257	281	369	378	
Prisons Industries	42	95	95	92	90	118	
Programs	51	256	249	212	160	142	
Community Sentencing	52	11	13	13	13	25	
Contracts	56	8	8	10	10	13	
Executive Operations	60-61	265	264	231	259	242	
Divisional Operations	62	219	216	192	91	67	
Health Services	63	386	384	396	368	356	
Information Technology	88	0	0	0	0	42	
		4205	4181	4189	3863	4357	

Performance Measure Review					
	FY 18	FY 17	FY 16	FY 15	FY 14
<b>Measure I</b> Decrease the percentage of offenders returning to prison within 36 months of release from 21.2% in 2013 to 20.1% by 2017.	24.76%	24.75%	24.2%	23.5%	22.0%
<b>Measure II</b> Increase the percentage of offenders re-entering the workforce having	6.0%	7.5%	7.4%	6.4%	6.6%
<b>Measure III</b> Decrease the total prison population from					
State Custody	27,176	26,606	27,430	27,867	27,234
County Jail Custody	1,171	1,631	1,134	318	163
Total	28,347	28,237	28,564	28,185	27,397
<b>Measure IV</b>					
<b>Measure V</b>					

Revolving Funds (200 Series Funds)			
	FY'16-18 Avg. Revenues	FY'16-18 Avg. Expenditures	June '18 Balance
<b>Revolving Fund I</b> 200 Revolving Supports the agency's operating budget in conjunction with appropriated funding	\$12,868,164	\$10,662,466	\$7,248,855
<b>Revolving Fund II</b> 205 Welfare and Recreational Provides a source of funding for goods, services and equipment for the welfare of staff and inmates.	\$5,122,899	\$5,054,644	\$1,250,876
<b>Revolving Fund III</b> 210 Community Sentencing Funds used by county planning councils in support of Community Sentencing for goods and services.	\$197,732	\$247,407	\$977,814
<b>Revolving Fund III</b> 280 Prison Industries Funds used to support Prison Industries business operations.	\$19,008,851	\$27,007,738	\$9,726,222