FY22 Budget Performance Review

296 - Ethics Commission

Lead Administrator: Ashley Kemp

Lead Financial Officer: Ashley Kemp

Agency Mission

The Oklahoma Ethics Commission is created by Article 29 of the Oklahoma Constitution. It has the Constitutional mission to promulgate rules of ethical conduct for state officers and employees and for campaigns for elective state office and initiatives and referenda, including civil penalties for violations. An additional part of its Constitutional mission is to investigate and, when appropriate, prosecute in District Court alleged violations of those rules.

Division and Program Descriptions Note: Please define any acronyms used in program descriptions. 1000010 - Compliance Assist regulated entities get into or stay in compliance with the Ethics Rules by reviewing filed documents. This may occur by providing advice initiated by the regulated entity or by Commission staff through reviewing reports. 1000010 - Enforcement The Constitution requires the Commission to (1) promulgate Rules in its area of jurisdiction; and (2) enforce those rules. This program deals with enforcement of the Rules through investigations, complaints, and litigation if necessary. 1000010 - Resources and Materials Commission offers both regularly scheduled and ad hoc educational programs and materials with up to date information on the Ethics Rules as they apply to different regulated entities. 1000010 - Rule Promulgation Commission is required to promulgate Rules regarding conduct of state officers and employees, campaigns for elective state office, and campaigns for initiative and referenda according to the process set forth in the Constitution. 1000010 - Political Subdivision Enforcement SB 1745 (2014) repealed the Political Subdivisions Ethics Act and replaced it with the Political Subdivisions Campaign Finance and Financial Disclosure act which vested enforcement of the Act with the Ethics Commission. This includes Counties, certain municipalities with population over 10,000 and GRF expenditure budget of \$10 million, and Independent School District and Technology Center Districts. Enforcement is discretionary when less than \$100,000 is in the fund.

	FY'21 Budgeted D	epartment Fundir	ng By Source			
Dept. # Department Name	Appropriations	Federal	Revolving	Local ¹	Other ²	Total
1000010 Admin General Operations	662,155		12,747			\$674,902
8800020 Administrative IS Services	25,802		49,000			\$74,802
						\$0
						\$0
						\$0
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						\$0
						\$0 \$0
						\$0
Total	\$687,957	\$0	\$61,747	\$0	\$0	

- 1. Please describe source of Local funding not included in other categories:
- $2.\ Please\ describe\ source(s)\ and\ \%\ of\ total\ of\ "Other"\ funding\ if\ applicable\ for\ each\ department:$

FY'20 Carryover by Funding Source							
	Appropriations	Federal	Revolving	Local ¹	Other ²	Total	
Carryover	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!	#VALUE!	
	\$0					\$0	
1. Please describe source of Local funding not included in other categories:							
2. Please describe source(s) and % of total of "Other" funding if applicable:							

What changes did the agency make between FY'20 and FY'21?

- 1.) Are there any services no longer provided because of budget cuts?
- 2.) What services are provided at a higher cost to the user?
- 3.) What services are still provided but with a slower response rate?
- 4.) Did the agency provide any pay raises that were not legislatively/statutorily required?

	FY'22 Requested Fun	ding By Departm	ent and Source			
Dept. # Department Name	Appropriations	Federal	Revolving	Other ¹	Total	% Change
1000010 Admin General Operations	\$662,155	\$0	\$12,747	\$0		0.00%
8800020 Administrative IS Services	\$25,802	\$0	\$49,000	\$0		0.00%
	\$0	\$0	\$0	\$0		
	\$0	\$0	\$0	\$0		
	\$0	\$0	\$0	\$0		
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	\$0	\$0	\$0	\$0		
	\$0	\$0	\$0	\$0		
	\$0	\$0	\$0	\$0	\$0	#DIV/0!
Total	\$687,957	\$0	\$61,747	\$0	\$749,704	0.00%

1. Please describe source(s) and % of total of "Other" funding for each department:

FY'22 Top Five Operational Appropriation Funding Requests							
Request by Priority	Request Description		Appropriation Request Amount (\$)				
Request 1: I	Information Services Equipment and Staff		\$200,000				
Request 2: A	AdministrationCompliance State and Political Subdivision		\$150,000				
Request 3:							
Request 4:							
Request 5:							
		Top Five Request Subtotal:	\$350,000				
Total Increase	e above FY-21 Budget (including all requests)		\$ 350,000				
Difference bet	ween Top Five requests and total requests:		\$0				

Does the agency have any costs associated with the Pathfinder retirement system and federal employees?

How would the agency be affected by receiving the same appropriation for FY '22 as was received in FY '21? (Flat/ 0% change)

How would the agency handle a 2% appropriation reduction in FY '22?

	Fee Increase Request (\$)	Statutory change required? (Yes/No)
Increase 1		
Increase 2		
Increase 3		

What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?		
	Appropriated	Submitted to LRCPC? (Yes/No)
Description of request in order of priority	Amount (\$)	
Priority 1 Online reporting for politcal subdivisions	\$200,000	
Priority 2		
Priority 3		

CFDA N/A	Federal Program Name		ederal Funds				
N/A		Agency Dept. #	FY 21 budgeted	FY 20	FY 19	FY 18	FY 17
	8	0 V 1	8				

Federal Government Impact
1.) How much federal money received by the agency is tied to a mandate by the Federal Government?
N/A
2.) Are any of those funds inadequate to pay for the federal mandate?
3.) What would the consequences be of ending all of the federal funded programs for your agency?

4.) How will your agency be affected by federal budget cuts in the coming fiscal year?
5.) Has the agency requested any additional federal earmarks or increases?

		FY'2	1 Budgeted FTE				
Division #	Division Name	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$
1000010 Admin Ge		2		6		1	5
8800020 Administr	ative IS Services						
**2 position	ons are temps						
Total		2	0	6	0	1	5

		FTE History				
Division #	Division Name	2021 Budgeted	2020	2019	2017	2012
1000010 Admin Ge	eneral Operations	6.0	9.0	7.0	7.0	
8800020 Administr	rative IS Services					
Total		6.0	9.0	7.0	7.0	0.0

Performa	ince Measure Rev	view			
	FY 20	FY 19	FY 18	FY 17	FY 16
Program Name					

Revolving Funds (200 Series Funds)				
Please provide fund number, fund name, description, and revenue source	FY'18-20 Avg. Revenues	FY'18-20 Avg. Expenditures	June '20 Balance	
200: OK Coun Campaign, Eth Rev Fund				
Describe fund purpose and revenue source	\$413,389	\$104,608	\$210,123	
210: Political Subdiv Enforcement Fd				
	\$0	\$0	\$0	

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