

FY21 Budget Performance Review

Ethics Commission

Lead Administrator: Ashley Kemp

Lead Financial Officer: Ashley Kemp

Agency Mission

Division and Program Descriptions

Note: Please define any acronyms used in program descriptions.

1000010 - Compliance

Assist regulated entities get into or stay in compliance with the Ethics Rules by reviewing filed documents. This may occur by providing advice initiated by the regulated entity or by Commission staff through reviewing reports.

1000010 - Enforcement

The Constitution requires the Commission to (1) promulgate Rules in its area of jurisdiction; and (2) enforce those rules. This program deals with enforcement of the Rules through investigations, complaints, and litigation if necessary.

1000010 - Resources and Materials

Commission offers both regularly scheduled and ad hoc educational programs and materials with up to date information on the Ethics Rules as they apply to different regulated entities.

1000010 - Rule Promulgation

Commission is required to promulgate Rules regarding conduct of state officers and employees, campaigns for elective state office, and campaigns for initiative and referenda according to the process set forth in the Constitution.

1000010 - Political Subdivision Enforcement

SB 1745 (2014) repealed the Political Subdivisions Ethics Act and replaced it with the Political Subdivisions Campaign Finance and Financial Disclosure act which vested enforcement of the Act with the Ethics Commission. This includes Counties, certain municipalities with population over 10,000 and GRF expenditure budget of \$10 million, and Independent School District and Technology Center Districts. Enforcement is discretionary when less than \$100,000 is in the fund.

FY'20 Budgeted Department Funding By Source

Dept. #	Department Name	Appropriations	Federal	Revolving	Local ¹	Other ²	Total
1000010	Admin General Operations	716,621		70,231			\$786,852
8800020	Administrative IS Services			83,968			\$83,968
Total		\$716,621	\$0	\$154,199	\$0	\$0	\$870,820

- Please describe source of Local funding not included in other categories:
- Please describe source(s) and % of total of "Other" funding if applicable for each department

FY'19 Carryover by Funding Source

Carryover	Appropriations	Federal	Revolving	Local ¹	Other ²	Total
	\$0					\$0

- Please describe source of Local funding not included in other categories:
- Please describe source(s) and % of total of "Other" funding if applicable:

What changes did the agency make between FY'19 and FY'20?

- Are there any services no longer provided because of budget cuts?
- What services are provided at a higher cost to the user?
- What services are still provided but with a slower response rate?
- Did the agency provide any pay raises that were not legislatively/statutorily required?

FY21 Budget Performance Review

Ethics Commission

Lead Administrator: Ashley Kemp

Lead Financial Officer: Ashley Kemp

FY'21 Requested Funding By Department and Source

Dept. #	Department Name	Appropriations	Federal	Revolving	Other ¹	Total	% Change
1000010	Admin General Operations	\$866,621	\$0	\$70,231	\$0	\$936,852	19.06%
8800020	Administrative IS Services	\$200,000	\$0	\$83,968	\$0	\$283,968	238.19%
Total		\$1,066,621	\$0	\$154,199	\$0	\$1,220,820	40.19%

1. Please describe source(s) and % of total of "Other" funding for each department:

FY'21 Top Five Operational Appropriation Funding Requests

Request by Priority	Request Description	Appropriation Request Amount (\$)
Request 1:	Information Services Equipment and Staff	\$200,000
Request 2:	Administration--Compliance State and Political Subdivision	\$150,000
Top Five Request Subtotal:		\$350,000
Total Increase above FY-20 Budget (including all requests)		\$ 350,000
Difference between Top Five requests and total requests:		\$0

Does the agency have any costs associated with the Pathfinder retirement system and federal employees?

How would the agency be affected by receiving the same appropriation for FY '21 as was received in FY '20? (Flat/ 0% change)

How would the agency handle a 2% appropriation reduction in FY '21?

Is the agency seeking any fee increases for FY '21?

	Fee Increase Request (\$)	Statutory change required? (Yes/No)
N/A		

What are the agency's top 2-3 capital or technology (one-time) requests, if applicable?

Description of request in order of priority	Appropriated Amount (\$)	Submitted to LRCPC? (Yes/No)
Priority 1 Online reporting for political subdivisions	\$200,000	

Federal Funds

CFDA	Federal Program Name	Agency Dept. #	FY 20 budgeted	FY 19	FY 18	FY 17	FY 16
N/A							

Federal Government Impact

1.) How much federal money received by the agency is tied to a mandate by the Federal Government?

N/A

2.) Are any of those funds inadequate to pay for the federal mandate?

3.) What would the consequences be of ending all of the federal funded programs for your agency?

FY21 Budget Performance Review

Ethics Commission

Lead Administrator: Ashley Kemp

Lead Financial Officer: Ashley Kemp

4.) How will your agency be affected by federal budget cuts in the coming fiscal year?

5.) Has the agency requested any additional federal earmarks or increases?

FY'20 Budgeted FTE							
Division #	Division Name	Supervisors	Classified	Unclassified	\$0 - \$35 K	\$35 K - \$70 K	\$70 K - \$\$\$
1000010	Admin General Operations	3		9	2	1	6
8800020	Administrative IS Services						
	**2 positions are temps						
Total		3	0	9	2	1	6

FTE History						
Division #	Division Name	2020 Budgeted	2019	2018	2016	2011
1000010	Admin General Operations	9.0	7.0	7.0		
8800020	Administrative IS Services					
Total		9.0	7.0	7.0	0.0	0.0

Performance Measure Review					
Program Name	FY 19	FY 18	FY 17	FY 16	FY 15

Revolving Funds (200 Series Funds)			
Please provide fund number, fund name, description, and revenue source	FY'17-19 Avg. Revenues	FY'17-19 Avg. Expenditures	June '19 Balance
200: OK Coun Campaign, Eth Rev Fund			
<i>Describe fund purpose and revenue source</i>	\$415,004	\$107,738	\$623,794
210: Political Subdiv Enforcement Fd			
	\$0	\$0	\$0